



Louisville Water Architectural / Engineering Statement of Qualifications 2016

1. Company Name: _____ _____ 1a. Former / Affiliated Name (circle one): _____ _____	3. Location of Branch Office in or near Louisville, KY: 3a. _____ _____ 3b. _____ _____ 3c. _____ _____																																																
2. Location Home Office: Street Address _____ _____ City, State, Zip Code _____ Phone No. _____ Fax No. _____ Web Address _____	4. Date Firm Established: _____ 5. Firm Ownership (Check if applicable; attach certificate copies to form.) <input type="checkbox"/> Minority Owned Enterprise <input type="checkbox"/> Woman Owned Enterprise <input type="checkbox"/> Handicapped Owned Enterprise <input type="checkbox"/> Certified Disadvantaged Firm																																																
6. Contact Information: 6a(1). Principals <table style="width: 100%; border: none;"> <tr> <td style="width: 25%;">Name</td> <td style="width: 25%;">_____</td> <td style="width: 25%;">Title</td> <td style="width: 25%;">_____</td> <td style="width: 20%;">Office Location</td> <td style="width: 20%;">_____</td> </tr> <tr> <td>Telephone</td> <td>_____</td> <td>Fax</td> <td>_____</td> <td>Email Address</td> <td>_____</td> </tr> </table> 6a(2). Principals <table style="width: 100%; border: none;"> <tr> <td style="width: 25%;">Name</td> <td style="width: 25%;">_____</td> <td style="width: 25%;">Title</td> <td style="width: 25%;">_____</td> <td style="width: 20%;">Office Location</td> <td style="width: 20%;">_____</td> </tr> <tr> <td>Telephone</td> <td>_____</td> <td>Fax</td> <td>_____</td> <td>Email Address</td> <td>_____</td> </tr> </table> 6b. Primary Request For Proposal (RFP) Contact <table style="width: 100%; border: none;"> <tr> <td style="width: 25%;">Name</td> <td style="width: 25%;">_____</td> <td style="width: 25%;">Title</td> <td style="width: 25%;">_____</td> <td style="width: 20%;">Office Location</td> <td style="width: 20%;">_____</td> </tr> <tr> <td>Telephone</td> <td>_____</td> <td>Fax</td> <td>_____</td> <td>Email Address</td> <td>_____</td> </tr> </table> 6c. Primary Statement of Qualifications (SOQ) Contact <table style="width: 100%; border: none;"> <tr> <td style="width: 25%;">Name</td> <td style="width: 25%;">_____</td> <td style="width: 25%;">Title</td> <td style="width: 25%;">_____</td> <td style="width: 20%;">Office Location</td> <td style="width: 20%;">_____</td> </tr> <tr> <td>Telephone</td> <td>_____</td> <td>Fax</td> <td>_____</td> <td>Email Address</td> <td>_____</td> </tr> </table>		Name	_____	Title	_____	Office Location	_____	Telephone	_____	Fax	_____	Email Address	_____	Name	_____	Title	_____	Office Location	_____	Telephone	_____	Fax	_____	Email Address	_____	Name	_____	Title	_____	Office Location	_____	Telephone	_____	Fax	_____	Email Address	_____	Name	_____	Title	_____	Office Location	_____	Telephone	_____	Fax	_____	Email Address	_____
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7. Personnel by Discipline (Total in Company / Total in Louisville Area or nearest office):

List each person only once by primary function.

Professional Staff	A / E Support Staff	Other
___ / ___ Architects	___ / ___ Accountants	___ / ___ _____
___ / ___ Chemical Engineers	___ / ___ Bacteriologists	___ / ___ _____
___ / ___ Civil Engineers	___ / ___ CADD Operators	___ / ___ _____
___ / ___ Computer / Software Engineers	___ / ___ Chemists	___ / ___ _____
___ / ___ Electrical Engineers	___ / ___ Construction Administrators	___ / ___ _____
___ / ___ Environmental Engineers	___ / ___ Construction Inspectors	___ / ___ _____
___ / ___ Industrial Engineers	___ / ___ Designers	___ / ___ _____
___ / ___ Land Surveyors	___ / ___ Draftpersons	___ / ___ _____
___ / ___ Mechanical Engineers	___ / ___ Economists	___ / ___ _____
___ / ___ Metallurgical Engineers	___ / ___ Geologists	___ / ___ _____
___ / ___ Structural Engineers	___ / ___ Planners	___ / ___ _____
	___ / ___ ROW / Easement Acquisition Agents	___ / ___ _____
	___ / ___ Specification Writers	___ / ___ _____
	___ / ___ Technicians	___ / ___ _____

<p>8. Staff Levels</p> <p>8a. Total Employment: _____</p> <p>8b. Louisville Employment: _____</p>	<p>9. Firm Diversity Profile (in percentages):</p> <table border="1" style="margin-left: auto; margin-right: auto;"> <thead> <tr> <th></th> <th style="background-color: #e0f2f1;">Male</th> <th style="background-color: #e0f2f1;">Female</th> </tr> </thead> <tbody> <tr> <td>African-American</td> <td>_____</td> <td>_____</td> </tr> <tr> <td>Caucasian</td> <td>_____</td> <td>_____</td> </tr> <tr> <td>Other</td> <td>_____</td> <td>_____</td> </tr> <tr> <td style="text-align: center;">Total</td> <td colspan="2" style="text-align: center;">0%</td> </tr> </tbody> </table>		Male	Female	African-American	_____	_____	Caucasian	_____	_____	Other	_____	_____	Total	0%		<p>10. Business Entity Permit to Practice in Kentucky</p> <p><i>Check all that apply; include Permit Nos.</i></p> <p><input type="checkbox"/> Engineering Permit No. _____</p> <p><input type="checkbox"/> Land Surveying Permit No. _____</p>
	Male	Female															
African-American	_____	_____															
Caucasian	_____	_____															
Other	_____	_____															
Total	0%																

<p>11. Summary of Professional Services Fees Collected Last 5 years (most recent first):</p> <p><i>See index numbers to the right.</i></p> <table style="margin-left: auto; margin-right: auto;"> <tr> <td></td> <td style="background-color: #fff9c4;">20__</td> <td style="background-color: #fff9c4;">20__</td> <td style="background-color: #fff9c4;">20__</td> <td style="background-color: #fff9c4;">20__</td> <td style="background-color: #fff9c4;">20__</td> </tr> </table> <table border="1" style="width: 100%; margin-top: 10px;"> <thead> <tr> <th></th> <th>20__</th> <th>20__</th> <th>20__</th> <th>20__</th> <th>20__</th> </tr> </thead> <tbody> <tr> <td>Firm Total</td> <td>_____</td> <td>_____</td> <td>_____</td> <td>_____</td> <td>_____</td> </tr> <tr> <td>Kentucky Total</td> <td>_____</td> <td>_____</td> <td>_____</td> <td>_____</td> <td>_____</td> </tr> <tr> <td>Louisville Total</td> <td>_____</td> <td>_____</td> <td>_____</td> <td>_____</td> <td>_____</td> </tr> </tbody> </table>		20__	20__	20__	20__	20__		20__	20__	20__	20__	20__	Firm Total	_____	_____	_____	_____	_____	Kentucky Total	_____	_____	_____	_____	_____	Louisville Total	_____	_____	_____	_____	_____	<p>Index numbers:</p> <ol style="list-style-type: none"> 1. Less than \$100,000 2. \$100,000 to \$250,000 3. \$250,000 to \$500,000 4. \$500,000 to \$1 million 5. \$1 million to \$2 million 6. \$2 million to \$5 million 7. \$5 million to \$10 million 8. \$10 million or greater
	20__	20__	20__	20__	20__																										
	20__	20__	20__	20__	20__																										
Firm Total	_____	_____	_____	_____	_____																										
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Louisville Total	_____	_____	_____	_____	_____																										



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12. Profile of Firm's Transactions: *(Last 5 years)*

	Transaction Profile Code No. *	Number of Transactions	Total Gross Fees
1)			
2)			
3)			
4)			
5)			
6)			
7)			
8)			
9)			
10)			
11)			
12)			
13)			
14)			
15)			
16)			
17)			
18)			
19)			
20)			
21)			
22)			
23)			
24)			
25)			
26)			
27)			
28)			
29)			

	Transaction Profile Code No. *	Number of Transactions	Total Gross Fees
30)			
31)			
32)			
33)			
34)			
35)			
36)			
37)			
38)			
39)			
40)			
41)			
42)			
43)			
44)			
45)			
46)			
47)			
48)			
49)			
50)			
51)			
52)			
53)			
54)			
55)			
56)			
57)			
58)			

* See attached Experience Profile Code Numbers.



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13. Transaction Examples Continued (Last 5 years; list all applicable projects.)

Experience Profile Code(s) *	Contract Type **	Project Name and Location	Owner Name and Address	Cost of Work	Project / Design Start Date	Completion Date	Completion Time (# of months)

* See attached Experience Profile Code Numbers.

** PP - Prime Professional JV - Joint Venture SC - Sub Contractor IE - Individual Experience

14. The foregoing is a statement of facts.

Signature _____

Date _____

Name and Title _____



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No.	Description	No.	Description
001	Acoustics; Noise Abatement	035	Plumbing and Piping Design
002	Aerial Photography	036	Project Management
003	Air Pollution Control	037	Pump Station Design
004	Architecture, Building	038	Rate Studies
005	Automation; Controls; Instrumentation; SCADA	039	ROW / Easement Acquisition
006	CADD / Graphic Design	040	Safety Engineering; Accident Studies; OSHA Studies
007	Chemical Processing & Storage	041	Security Systems; Intruder & Smoke Detection
008	Communications Systems; Telephone; RF; Microwave	042	Seismic Designs & Studies
009	Computer Facilities; Computer Service	043	Soils & Geologic Studies; Foundations
010	Conservation and Resource Management	044	Space Planning & Utilization
011	Construction Inspection	045	Strategic Business Planning
012	Construction Management	046	Structural Design; Special Structures
013	Corrosion Control; Cathodic Protection; Electrolysis	047	Surveying; Platting; Mapping
014	Cost Estimating	048	Underground Storage Tanks / Soil Remediation
015	Demand Forecasting	049	Value Engineering Analysis; Life Cycle Costing
016	Drafting Services	050	Waste Water Treatment
017	Electrical Switchgear / Power Systems	051	Water Main Design & Installation (20" and smaller)
018	Electronics	052	Water Main Design & Installation (24" and larger)
019	Environmental Impact Studies, Assessments or Statements	053	Water Resources; Hydrology; Ground Water
020	Ergonomics	054	Water Supply, Treatment
021	Facilities Planning / Long Range Planning	055	Water Supply, Distribution
022	Geographic Information Systems (GIS); Automated Mapping / Facility Management	056	Zoning; Land Use Studies
023	Handicap Access (ADA) Design / Construction	057	Asbestos / Lead
024	Hazardous Materials Evaluation	058	Transportation
025	Heating; Ventilating; Air Conditioning	059	Miscellaneous Civil Engineering
026	Historical Preservation / Historic Structures	060	Collection Systems; Sanitary Sewers
027	Hydraulics / Water Distribution System Analysis	061	Roof Consulting
028	Industrial Processes; Quality Control / Productivity	062	Roadway Design (Highways, Streets, Parking Lots, etc.)
029	Interior Design	063	Erosion; Sediment Control
030	Landscape Architecture	064	Design Build
031	Lighting (Interiors, Display, Theatre, etc. & Exteriors; Streets, Memorials, Structures, etc.)	065	Tunneling
032	Materials Evaluation / Testing; Metallurgy	066	AMR/AMI
033	Planning (Community, Regional, and State)	067	Asset Management
034	Planning (Site, Installation, and Project)	068	IT - Information Technology

Other: _____
Other: _____

Other: _____
Other: _____