



Louisville Water Architectural / Engineering Statement of Qualifications

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|---|---|-------|-------|-----------------|-------|-----------------|-------|-----------|-------|-----|-------|---------------|-------|------|-------|-------|-------|-----------------|-------|-----------|-------|-----|-------|---------------|-------|------|-------|-------|-------|-----------------|-------|-----------|-------|-----|-------|---------------|-------|------|-------|-------|-------|-----------------|-------|-----------|-------|-----|-------|---------------|-------|
| 1. Company Name: _____ _____ 1a. Former / Affiliated Name (circle one): _____ _____ | 3. Location of Branch Office in or near Louisville, KY: 3a. _____ _____ 3b. _____ _____ 3c. _____ _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2. Location Home Office: Street Address _____ _____ _____ City, State, Zip Code _____ _____ Phone No. _____ _____ Fax No. _____ _____ Web Address _____ _____ | 4. Date Firm Established: _____ 5. Firm Ownership (Check if applicable; attach certificate copies to form.) <input type="checkbox"/> Minority Owned Enterprise <input type="checkbox"/> Woman Owned Enterprise <input type="checkbox"/> Handicapped Owned Enterprise <input type="checkbox"/> Certified Disadvantaged Firm | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 6. Contact Information: 6a(1). Principals <table style="width: 100%; border: none;"> <tr> <td style="width: 25%;">Name</td> <td style="width: 25%;">_____</td> <td style="width: 25%;">Title</td> <td style="width: 25%;">_____</td> <td style="width: 25%;">Office Location</td> <td style="width: 25%;">_____</td> </tr> <tr> <td>Telephone</td> <td>_____</td> <td>Fax</td> <td>_____</td> <td>Email Address</td> <td>_____</td> </tr> </table> 6a(2). Principals <table style="width: 100%; border: none;"> <tr> <td style="width: 25%;">Name</td> <td style="width: 25%;">_____</td> <td style="width: 25%;">Title</td> <td style="width: 25%;">_____</td> <td style="width: 25%;">Office Location</td> <td style="width: 25%;">_____</td> </tr> <tr> <td>Telephone</td> <td>_____</td> <td>Fax</td> <td>_____</td> <td>Email Address</td> <td>_____</td> </tr> </table> 6b. Primary Request For Proposal (RFP) Contact <table style="width: 100%; border: none;"> <tr> <td style="width: 25%;">Name</td> <td style="width: 25%;">_____</td> <td style="width: 25%;">Title</td> <td style="width: 25%;">_____</td> <td style="width: 25%;">Office Location</td> <td style="width: 25%;">_____</td> </tr> <tr> <td>Telephone</td> <td>_____</td> <td>Fax</td> <td>_____</td> <td>Email Address</td> <td>_____</td> </tr> </table> 6c. Primary Statement of Qualifications (SOQ) Contact <table style="width: 100%; border: none;"> <tr> <td style="width: 25%;">Name</td> <td style="width: 25%;">_____</td> <td style="width: 25%;">Title</td> <td style="width: 25%;">_____</td> <td style="width: 25%;">Office Location</td> <td style="width: 25%;">_____</td> </tr> <tr> <td>Telephone</td> <td>_____</td> <td>Fax</td> <td>_____</td> <td>Email Address</td> <td>_____</td> </tr> </table> | | Name | _____ | Title | _____ | Office Location | _____ | Telephone | _____ | Fax | _____ | Email Address | _____ | Name | _____ | Title | _____ | Office Location | _____ | Telephone | _____ | Fax | _____ | Email Address | _____ | Name | _____ | Title | _____ | Office Location | _____ | Telephone | _____ | Fax | _____ | Email Address | _____ | Name | _____ | Title | _____ | Office Location | _____ | Telephone | _____ | Fax | _____ | Email Address | _____ |
| Name | _____ | Title | _____ | Office Location | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Telephone | _____ | Fax | _____ | Email Address | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Name | _____ | Title | _____ | Office Location | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Telephone | _____ | Fax | _____ | Email Address | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Name | _____ | Title | _____ | Office Location | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Telephone | _____ | Fax | _____ | Email Address | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Name | _____ | Title | _____ | Office Location | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Telephone | _____ | Fax | _____ | Email Address | _____ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |



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7. Personnel by Discipline (Total in Company / Total in Louisville Area or nearest office):

List each person only once by primary function.

| Professional Staff | A / E Support Staff | Other |
|---|---|-----------|
| ___ / ___ Architects | ___ / ___ Accountants | ___ / ___ |
| ___ / ___ Chemical Engineers | ___ / ___ Bacteriologists | ___ / ___ |
| ___ / ___ Civil Engineers | ___ / ___ CADD Operators | ___ / ___ |
| ___ / ___ Computer / Software Engineers | ___ / ___ Chemists | ___ / ___ |
| ___ / ___ Electrical Engineers | ___ / ___ Construction Administrators | ___ / ___ |
| ___ / ___ Environmental Engineers | ___ / ___ Construction Inspectors | ___ / ___ |
| ___ / ___ Industrial Engineers | ___ / ___ Designers | ___ / ___ |
| ___ / ___ Land Surveyors | ___ / ___ Draftpersons | ___ / ___ |
| ___ / ___ Mechanical Engineers | ___ / ___ Economists | ___ / ___ |
| ___ / ___ Metallurgical Engineers | ___ / ___ Geologists | ___ / ___ |
| ___ / ___ Structural Engineers | ___ / ___ Planners | ___ / ___ |
| | ___ / ___ ROW / Easement Acquisition Agents | ___ / ___ |
| | ___ / ___ Specification Writers | ___ / ___ |
| | ___ / ___ Technicians | ___ / ___ |

8. Staff Levels

8a. Total Employment: _____

8b. Louisville Employment: _____

9. Firm Diversity Profile (in percentages):

| | Male | Female |
|------------------|-------|--------|
| African-American | _____ | _____ |
| Caucasian | _____ | _____ |
| Other | _____ | _____ |
| Total | 0% | |

10. Business Entity Permit to Practice in Kentucky

Check all that apply; include Permit Nos.

- Engineering
Permit No. _____
- Land Surveying
Permit No. _____

11. Summary of Professional Services Fees Collected Last 5 years (most recent first):

See index numbers to the right.

| | 20__ | 20__ | 20__ | 20__ | 20__ |
|------------------|-------|-------|-------|-------|-------|
| Firm Total | _____ | _____ | _____ | _____ | _____ |
| Kentucky Total | _____ | _____ | _____ | _____ | _____ |
| Louisville Total | _____ | _____ | _____ | _____ | _____ |

Index numbers:

1. Less than \$100,000
2. \$100,000 to \$250,000
3. \$250,000 to \$500,000
4. \$500,000 to \$1 million
5. \$1 million to \$2 million
6. \$2 million to \$5 million
7. \$5 million to \$10 million
8. \$10 million or greater



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12. Profile of Firm's Transactions: *(Last 5 years)*

| | Transaction Profile Code No. * | Number of Transactions | Total Gross Fees | | Transaction Profile Code No. * | Number of Transactions | Total Gross Fees |
|-----|--------------------------------|------------------------|------------------|-----|--------------------------------|------------------------|------------------|
| 1) | | | | 30) | | | |
| 2) | | | | 31) | | | |
| 3) | | | | 32) | | | |
| 4) | | | | 33) | | | |
| 5) | | | | 34) | | | |
| 6) | | | | 35) | | | |
| 7) | | | | 36) | | | |
| 8) | | | | 37) | | | |
| 9) | | | | 38) | | | |
| 10) | | | | 39) | | | |
| 11) | | | | 40) | | | |
| 12) | | | | 41) | | | |
| 13) | | | | 42) | | | |
| 14) | | | | 43) | | | |
| 15) | | | | 44) | | | |
| 16) | | | | 45) | | | |
| 17) | | | | 46) | | | |
| 18) | | | | 47) | | | |
| 19) | | | | 48) | | | |
| 20) | | | | 49) | | | |
| 21) | | | | 50) | | | |
| 22) | | | | 51) | | | |
| 23) | | | | 52) | | | |
| 24) | | | | 53) | | | |
| 25) | | | | 54) | | | |
| 26) | | | | 55) | | | |
| 27) | | | | 56) | | | |
| 28) | | | | 57) | | | |
| 29) | | | | 58) | | | |

* See attached Experience Profile Code Numbers.



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13. Transaction Examples Continued (Last 5 years; list all applicable projects.)

| Experience Profile Code(s) * | Contract Type ** | Project Name and Location | Owner Name and Address | Cost of Work | Project / Design Start Date | Completion Date | Completion Time (# of months) |
|------------------------------|------------------|---------------------------|------------------------|--------------|-----------------------------|-----------------|-------------------------------|
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* See attached Experience Profile Code Numbers.

** PP - Prime Professional JV - Joint Venture SC - Sub Contractor IE - Individual Experience

14. The foregoing is a statement of facts.

Signature _____

Name and Title _____

Date _____



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| No. | Description | No. | Description |
|-----|---|-----|--|
| 001 | Acoustics; Noise Abatement | 035 | Plumbing and Piping Design |
| 002 | Aerial Photography | 036 | Project Management |
| 003 | Air Pollution Control | 037 | Pump Station Design |
| 004 | Architecture, Building | 038 | Rate Studies |
| 005 | Automation; Controls; Instrumentation; SCADA | 039 | ROW / Easement Acquisition |
| 006 | CADD / Graphic Design | 040 | Safety Engineering; Accident Studies; OSHA Studies |
| 007 | Chemical Processing & Storage | 041 | Security Systems; Intruder & Smoke Detection |
| 008 | Communications Systems; Telephone; RF; Microwave | 042 | Seismic Designs & Studies |
| 009 | Computer Facilities; Computer Service | 043 | Soils & Geologic Studies; Foundations |
| 010 | Conservation and Resource Management | 044 | Space Planning & Utilization |
| 011 | Construction Inspection | 045 | Strategic Business Planning |
| 012 | Construction Management | 046 | Structural Design; Special Structures |
| 013 | Corrosion Control; Cathodic Protection; Electrolysis | 047 | Surveying; Platting; Mapping |
| 014 | Cost Estimating | 048 | Underground Storage Tanks / Soil Remediation |
| 015 | Demand Forecasting | 049 | Value Engineering Analysis; Life Cycle Costing |
| 016 | Drafting Services | 050 | Waste Water Treatment |
| 017 | Electrical Switchgear / Power Systems | 051 | Water Main Design & Installation (20" and smaller) |
| 018 | Electronics | 052 | Water Main Design & Installation (24" and larger) |
| 019 | Environmental Impact Studies, Assessments or Statements | 053 | Water Resources; Hydrology; Ground Water |
| 020 | Ergonomics | 054 | Water Supply, Treatment |
| 021 | Facilities Planning / Long Range Planning | 055 | Water Supply, Distribution |
| 022 | Geographic Information Systems (GIS); Automated Mapping / Facility Management | 056 | Zoning; Land Use Studies |
| 023 | Handicap Access (ADA) Design / Construction | 057 | Asbestos / Lead |
| 024 | Hazardous Materials Evaluation | 058 | Transportation |
| 025 | Heating; Ventilating; Air Conditioning | 059 | Miscellaneous Civil Engineering |
| 026 | Historical Preservation / Historic Structures | 060 | Collection Systems; Sanitary Sewers |
| 027 | Hydraulics / Water Distribution System Analysis | 061 | Roof Consulting |
| 028 | Industrial Processes; Quality Control / Productivity | 062 | Roadway Design (Highways, Streets, Parking Lots, etc.) |
| 029 | Interior Design | 063 | Erosion; Sediment Control |
| 030 | Landscape Architecture | 064 | Design Build |
| 031 | Lighting (Interiors, Display, Theatre, etc. & Exteriors; Streets, Memorials, Structures, etc.) | 065 | Tunneling |
| 032 | Materials Evaluation / Testing; Metallurgy | 066 | AMR/AMI |
| 033 | Planning (Community, Regional, and State) | 067 | Asset Management |
| 034 | Planning (Site, Installation, and Project) | 068 | IT - Information Technology |

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